



- a) Redeemable  
c) Convertible
- b) Participating  
d) Cumulative
4. Match the following: (1) Security, (2) Capital growth, (3) Marketability, with  
(a) Money, (b) Flexibility to portfolios, (c) Re-investing  
a) 1-b, 2-c, 3-a  
c) 1-c, 2-b, 3-a
- b) 1-a, 2-c, 3-b  
d) 1-a, 2-b, 3-c
5. .... is defined as a company from one country making a physical investment into building a factory in another country.  
a) Foreign Direct investment  
b) Foreign Portfolio Investment
- b) Foreign Institutional Investment  
d) Foreign Indirect Investment
6. Capital needed for more than five years or the period may extend up to 25 years is called as.....  
a) Short term Capital  
c) Long term capital
- b) Medium term capital  
d) None of the above
7. Portfolio Management is all about strengths, weaknesses, opportunities and threats in the choice of debt vs. equity, domestic vs. international, growth vs. safety, and many other tradeoffs encountered in an attempt to maximize return at a given appetite for risk.  
a) True  
c) False
- b) Can't say  
d) None of the above
8. The first ADR was introduced by J.P. Morgan in 1927 for the British retailer Selfridges.  
a) True  
c) False
- b) Can't say  
d) None of the above
9. Syndicated loan is typically a ..... loan in which a group of financial institutions (the Syndicate) work together to provide funds for a borrower.  
a) small  
c) large
- b) medium  
d) all of the above
10. Which of the following is the intermediary of the 'Capital Market of Stocks'?  
a) Associates and friends  
c) Financial institution
- b) Broker  
d) Underwriter
11. Which of the following is/are the technique/s of self financing other than "Ploughing Back of Profits (Cash Reserves)"?  
a) Depreciation  
c) Both A and B
- b) Reserves  
d) None of the above
12. According to Guthmann, "..... is broad term and it includes estimation of funds in accurate quantity, raising and collection of funds, utilisation and controlling of funds."  
a) Business Planning  
c) Business Finance
- b) Business Analysis  
d) All of the above